

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

RULE 53(f) NO DECLARATION

PATENT APPLICATION

Hon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt.

PM 275264

HD-943

M#

Client Ref

Date:

January 2, 2001

January 1, 2001 = Holiday

December 31, 2000 = Sunday

December 30, 2000 = Saturday

Sir:

1. This is a Request for filing a new Patent Application ☐ Design ☒ Utility) entitled:2. (Complete) Title: **METHOD OF FABRICATING CAPACITORS FOR SEMICONDUCTOR DEVICES**

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).4. 24 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language6. 20 Numbered claim(s); and7. ☒ Drawings: 3 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Korea

Application No.	Filing Date	Application No.	Filing Date
(1) <u>99-68094</u>	<u>December 31, 1999</u>	(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. / filed on _____12. ☐ This is a reissue of Patent No. _____13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:14(a) ☐ National Appln. No. / filed .. - (M#)14(b) ☐ International Appln. No. PCT/ filed .. - (M#) which
designated the U.S., and that International Application ☐ was ☐ was not published
under PCT Article 21(2) in English.--15. ☐ **Amend the specification** by inserting before the first line: --This application
claims the benefit of U.S. Provisional Application No. 60/____, filed ____--16. Extension to date: ☐ concurrently filed ☒ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pr-filing confirmation **requir d**)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not
essential to make claim)17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

18. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Kee Jeung		LEE
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Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
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Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐
and attach sheet with same information regarding additional inventors.

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PM 275264

(M#)

Invention: **METHOD OF FABRICATING CAPACITORS FOR SEMICONDUCTOR DEVICES**

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This is a:

- ☐ Provisional Application
- ☒ Regular Utility Application
- ☐ Continuing Application
 - ☐ The contents of the parent are incorporated by reference
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification
Sub. Spec Filed _____
in App. No. _____ / _____
- ☐ Marked up Specification re
Sub. Spec. filed _____
In App. No. _____ / _____

SPECIFICATION

09751453 010201
"ESTD 2020"